

APENDIX II

1.1 **Onboarding and managing the relationship and accounts** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
1.1.1 Defining the business offer and pricing	LEGITIMATE INTEREST	YES (physical person / customer who referenced the prospect)	NO
1.1.2 Event organisation and management of client interests	LEGITIMATE INTEREST (personalisation of relationship and quality of service)	NO	NO
1.1.3 Marketing campaigns	CONSENT	NO	NO
1.1.4 Communication of information and bank-client exchanges	CONTRAT	NO	NO

1.2 **Onboarding and managing the banking relationship and accounts** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
1.2.1 Onboarding, tracking, and administering accounts	LEGAL OBLIGATION / CONTRACT	NO	NO
1.2.2 Classifying clients based on relationship ties for the purposes of adapting the offer and pricing	LEGITIMATE INTEREST (improving customer service)	NO	NO
1.2.3 Disclosing financial and tax information to clients	CONTRACT	NO	NO
1.2.4 Relationship with external managers and business introducers	CONTRACT	NO	NO
1.2.5 Complaints and exercising of rights, pre-litigation/litigation and garnishments and estates	LEGAL OBLIGATION	YES (Third party submitting the request: lawyers, bailiffs, non-client third parties according to the request)	NO (except to duly authorised issuing countries)

2. **Offering and managing products and services** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
2.1 Advisory and wealth engineering activities	LEGITIMATE INTEREST (customer service)	YES (Group advisory)	NO
2.2 Securities transactions, investment, and life insurance activities	LEGAL OBLIGATION / CONTRACT	YES (insurers, Group advisory, orders sent by power of attorney)	Receipt & execution of orders according to financial intermediaries
2.3 Credit activities	CONTRACT	Other banks for guarantees, notaries	NO
2.4 Cash transaction, payment, and payment method (cards and cheques) activities)	LEGAL OBLIGATION / CONTRACT	YES (Beneficiaries/issuers)	Beneficiaries/issuers depending on the transaction
2.5 Online banking and safe deposit box activities	CONTRACT	NO	NO
2.6 Activities relating to philanthropy, social and environmental responsibility and green finance	LEGITIMATE INTEREST	NO	NO

3. **Logistics management (safety and security of people and property, mail and archive management)** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
3.1 Safety and security of people, property, and information	LEGITIMATE INTEREST (security of persons and property)	NO	NO
3.2 Records, storage and archiving	LEGAL OBLIGATION	NO	NO
3.3 Mail	CONTRACT	NO	NO

4.1 **Adherence to legal and regulatory obligations with respect to outside authorities** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
4.1.1 Response to warrants and administrative/judicial requests	LEGAL OBLIGATION	YES (Authorities submitting the request)	NO
4.1.2 Financial regulatory disclosure	LEGAL OBLIGATION	NO	NO
4.1.3 Tax reporting (automatic information exchange and tax collection)	LEGAL OBLIGATION	NO	NO (except to duly authorised Authority)

4.2. **Adherence to legal and regulatory obligations with respect to internal auditing obligations** mainly includes the following purposes:

PURPOSES	LEGAL BASIS ALLOWING PROCESSING	DATA OBTAINED FROM THIRD PARTY	TRANSFERRING DATA TO A NON ADEQUATE COUNTRY
4.2.1 Consolidation of data for the purposes of financial oversight and internal reporting	LEGITIMATE INTEREST (Oversight of the Bank)	NO	NO
4.2.2 Cash management and ALM	LEGAL OBLIGATION	NO	NO
4.2.3 Periodic and permanent controls and corresponding reporting	LEGAL OBLIGATION	NO	NO
4.2.4 Prevention and monitoring of fraud and corruption	LEGITIMATE INTEREST	NO	NO
4.2.5 Alert reporting systems	LEGITIMATE INTEREST	NO	NO
4.2.6 Control of compliance, particularly in relation to financial security, coordination, management of dormant accounts and supervision of operations on financial markets.	LEGAL OBLIGATION	YES (Public Safety or other authorities)	NO